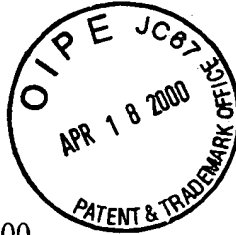## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carroll et al.

Serial No. 09/506,125
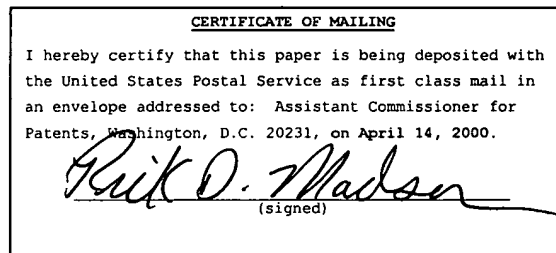
Filed: February 17, 2000

For: REDUCE CODING COMPLEXITY
THROUGH EXTENSIBLE
RESOURCE ANNOTATIONS

Group Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

In re Application of Carroll et al.
Serial No. 09/506,125

The Information Disclosure Statement is being filed:

☒   (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits.

☐   **after** (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:

        ☐   the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below).

        ☐   the fee of $230 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).

☐   **after** the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).

Copies Of The References

☒   Copies of certain references listed on the enclosed Form PTO-1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 C.F.R. § 1.98(a)(3).

☐   A copy of the foreign search report is enclosed herewith.

☐   All references listed on the enclosed Form PTO-1449 were previously identified in the parent application) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 C.F.R. § 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status *(check one)*		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				

2

In re Application of Carroll et al.
Serial No. 09/506,125

Other Applications

☒   The Examiner's attention is directed to the following U.S. patent application(s):

U.S. APPLICATIONS		Status *(check one)*		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 09/452,421	December 1, 1999		X	
2.				
3.				

Certification

☐   The **undersigned attorney** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

☐   The **undersigned attorney** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Petition

☐   The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.

Fees

☒   **No fee** is owed by the applicant(s).
☐   The **IDS Fee of $230.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.
☐   The **Petition Fee of $130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

Method Of Payment Of Fees

☐   Attached is a check in the amount of $ 230.00.
☐   Charge Deposit Account No. 12-1216 in the amount of $. (A duplicate copy of this communication is enclosed for that purpose.)
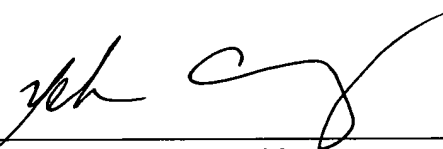
In re Application of Carroll et al.
Serial No. 09/506,125

Authorization To Charge Additional Fees

☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions As To Overpayment

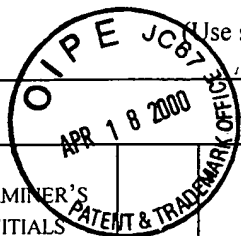☒ Credit Account No. 12-1216.
☐ Refund

Date: April 14, 2000

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FORM PTO-1449 - MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 202411	SERIAL NO. 09/506,125
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Carroll et al.	
(Use several sheets if necessary)		FILING DATE February 17, 2000	GROUP Unassigned

OIPE JC67 APR 18 2000 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,499,335	March 12, 1996	Silver et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO*

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

⌐	AB	Microsoft Press. The Windows Interface Guidelines for Software Design. Redmond, Washington. 1995.
/	AC	Microsoft Corporation. Chapter Seven An Introduction to Application Resources. [web page] 1999. http://msdn.microsoft.com/library/partbook/win98dh/chaptersevenintroductiontoapplicationresources.htm. [Accessed October 21, 1999].
/	AD	Microsoft Corporation. Child Window and Dialog Box Procedures. [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/childwindowdialogboxprocedures.htm. [Accessed October 18, 1999]
/	AE	Microsoft Corporation. Adding and Editing Resource Elements. [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/addingeditingresourceelements.htm. [Accessed October 21, 1999]
/	AF	Microsoft Corporation. Viewing and Changing Resource Identifiers. [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/viewingchangingresourceidentifiers.htm. [Accessed October 21, 1999].
/	AG	Finocchio, Mark. Microsoft Developer's Network CD. Control Spy Exposes the Clandestine Life of Windows Common Controls, Part I. Microsoft Corporation, July 1999.
/	AH	Finocchio, Mark. Microsoft Developer's Network CD. Control Spy Exposes the Clandestine Life of Windows Common Controls, Part II. Microsoft Corporation, July 1999.
/	AI	Microsoft Corporation. CreateDialog. [web page]. 1999. http://msdn.microsoft.com/library/wcedoc/wcesdkr/uif_ac_44.htm. [Accessed October 18, 1999].
/	AJ	Microsoft Corporation. CreateDialog. [web page]. September 28, 1999. http://msdn.microsoft.com/library/psdk/winui/dlgboxes_4hwn.htm. [Accessed October 18, 1999].
/	AK	Microsoft Corporation. DialogProc. [web page]. 1999 http://msdn.microsoft.com/library/wcedoc/wcesdkr/kf_de_8.htm. [Accessed October 18, 1999].
/	AL	Microsoft Corporation. WM_INITDIALOG. [web page]. 1999. http://msdn.microsoft.com/library/wcedoc/wcesdkr/uim_uw_65.htm. [Accessed October 18, 1999].
/	AM	Dr. Gui. Microsoft Corporation. Dr. GUI Does Data with XML. [web page]. October 20, 1997. http://msdn.microsoft.com/library/Welcome/dsmsdn/msdn_guixml.htm. [Accessed October 18, 1999].
/	AN	Dr. Gui. Microsoft Corporation. Scripting Your Options. [web page]. November 10, 1997. http://msdn.microsoft.com/library/Welcome/dsmsdn/msdn_guiscript.htm. [Accessed October 18, 1999].
/	AO	Dr. Gui. Microsoft Corporation. Should Your Next UI Be Written in Dynamic HTML?. [web page]. November 3, 1997. http://msdn.microsoft.com/library/Welcome/dsmsdn/msdn_guidhtml.htm. [Accessed April 14, 2000].

FORM PTO-1449 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 202411	SERIAL NO. 09/506,125
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Carroll et al.	
		FILING DATE February 17, 2000	GROUP Unassigned

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Microsoft Corporation. *Dynamic Link Libraries.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/dynamiclinklibraries.htm. [Accessed October 21, 1999].
	AQ	Microsoft Corporation. *Editing Modes.* [web page]. 1999. http://msdn.microsoft.com/library/devprods/vs6/vinterdev/vidref/viconeditingmodes.htm. [Accessed October 18, 1999].
	AR	Microsoft Corporation. *Header Files.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/headerfiles.htm. [Accessed October 21, 1999].
	AS	Microsoft Corporation. *Linking Resources.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/linkingresources.htm. [Accessed October 21, 1999].
	AT	Microsoft Corporation. *The Message Handling Structure.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/themessagehandlingstructure.htm. [Accessed October 18, 1999].
	AU	Microsoft Corporation. *Messages and Event-Driven Programming.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/messageseventdrivenprogramming.htm. [Accessed October 18, 1999].
	AV	Microsoft Corporation. *Opening Project and Resource Files.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/openingprojectresourcefiles.htm. [Accessed October 21, 1999].
	AW	Microsoft Corporation. *The Template.RC Script.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/thetemplatercscript.htm. [Accessed October 18, 1999].
	AX	Microsoft Corporation. *Types of Resources.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/typesofresources.htm. [Accessed October 18, 1999].
	AY	Microsoft Corporation. *Using a Resource Editor.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/usingresourceeditor.htm. [Accessed October 21, 1999].
	AZ	Microsoft Corporation. *Files and File Types.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/filesfiletypes.htm. [Accessed October 18, 1999].
previously cited	BA	Microsoft Corporation. *Opening Project and Resource Files.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/openingprojectresourcefiles.htm. [Accessed October 21, 1999].
	BB	Microsoft Corporation. *The Windows.H Header File.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/thewindowshheaderfile.htm. [Accessed October 21, 1999].
	BC	Microsoft Corporation. *The WinMain Procedure.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/thewinmainprocedure.htm. [Accessed October 18, 1999].
	BD	Microsoft Corporation. *The WndProc Procedure.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/thewndprocprocedure.htm. [Accessed October 21, 1999].
	BE	Microsoft Corporation. *Message-Loop Alternatives.* [web page]. 1999. http://msdn.microsoft.com/library/partbook/win98dh/messageloopalternatives.htm. [Accessed October 18, 1999].

EXAMINER	DATE CONSIDERED

*A concise statement of relevance is being submitted in lieu of a translation. 37 CFR § 1.98(b).